

## **ADDITIONAL RISK DISCLOSURE FOR FUTURES & OPTIONS ACCOUNTS**

MUST BE SIGNED BY ANY CUSTOMER WHO IS:

- A) Retired; or
- B) Under 23 years old; or
- C) Has an annual income of \$25,000 or less; or
- D) Has a net worth of \$25,000 or less; or
- E) Has no prior commodity futures or commodity options trading experience; or
- F) Funds are for retirement (e.g. IRA, Keogh, etc.)

In addition to the standard industry disclosures included in the Rosenthal Collins Group, L.L.C. Customer Account Documents, you should be aware that commodity trading is considered a risky form of investment. If you have pursued only conservative forms of investment in the past, you may wish to study commodity futures and options trading further before continuing an investment of this nature. You must realize that you could sustain a total loss of all funds you deposit with your broker as initial margin as well as substantial amounts of capital, including liability for deficit balances, when trading futures or granting options, should the market go against your investment. You must also realize that the limited risk in buying options means you could lose the entire option investment should the position expire worthless. You should also be aware that the exercise of an option contract will result in a futures position.

If you wish to continue with your investment, you acknowledge that the funds you have committed are purely risk capital and a loss of your investment will not jeopardize your style of living nor will it detract from your future retirement program. Additionally, you fully understand the nature and risks of futures and options investments and your obligations to others will not be neglected should you suffer investment losses.

\_\_\_\_\_  
Printed Name of Customer

The undersigned acknowledges that he/  
she has received, read and understood  
the above additional risk disclosure.

\_\_\_\_\_  
Customer Signature

\_\_\_\_\_  
If a joint account, all persons must sign